



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>C07K 5/06, 14/81, 38/55, A61P 7/02</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/14110</b> <b>(43) International Publication Date:</b> 16 March 2000 (16.03.00)
<b>(21) International Application Number:</b> PCT/SE99/01514 <b>(22) International Filing Date:</b> 2 September 1999 (02.09.99) <b>(30) Priority Data:</b> 9802974-7      3 September 1998 (03.09.98)      SE <b>(71) Applicant (for all designated States except US):</b> ASTRA AKTIEBOLAG [SE/SE]; S-151 85 Södertälje (SE). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> EDVARDSSON, Daniel [SE/SE]; Astra Production Chemicals AB, S-151 85 Södertälje (SE). HEDSTRÖM, Lena [SE/SE]; Astra Production Chemicals AB, S-151 85 Södertälje (SE). LUNDBLAD, Anita [SE/SE]; Astra Hässle AB, S-431 83 Mölndal (SE). PETTERSSON, Ursula [SE/SE]; Astra Production Chemicals AB, S-151 85 Södertälje (SE). <b>(74) Agent:</b> ASTRA AKTIEBOLAG; Intellectual Property, Patents, S-151 85 Södertälje (SE).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments</i>
<b>(54) Title:</b> CRYSTALLINE FORMS OF EtO <sub>2</sub> C-CH <sub>2</sub> -(R)Cgl-Aze-Pab-OH  <b>(57) Abstract</b>  There is provided EtO <sub>2</sub> C-CH <sub>2</sub> -(R)Cgl-Aze-Pab-OH, or a pharmaceutically-acceptable salt thereof, in a form which is substantially crystalline. It has been found that crystalline forms of EtO <sub>2</sub> C-CH <sub>2</sub> -(R)Cgl-Aze-Pab-OH have a high chemical and solid state stability when compared to amorphous forms of the compound.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

### Field of the Invention

- 5 This invention relates to new solid state forms of a drug, to pharmaceutical compositions containing them, and to processes for obtaining them.

### Background of the invention

- 10 In the formulation of drug compositions, it is important for the drug substance to be in a form in which it can be conveniently handled and processed. This is of importance, not only from the point of view of obtaining a commercially viable manufacturing process, but also from the point of view of subsequent manufacture of pharmaceutical formulations  
15 (e.g. oral dosage forms such as tablets) comprising the active compound.

- Further, in the manufacture of oral drug compositions, it is important that a reliable, reproducible and constant plasma concentration profile of drug is provided following administration to a patient. This is of particular  
20 importance in the manufacture of compositions comprising antithrombotic agents.

- Chemical stability, solid state stability, and "shelf life" of the active ingredients are also very important factors. The drug substance, and  
25 compositions containing it, should be capable of being effectively stored over appreciable periods of time, without exhibiting a significant change in the active component's physico-chemical characteristics (e.g. its chemical composition, density, hygroscopicity and solubility).

Moreover, it is also important to be able to provide drug in a form which is as chemically-pure as possible.

Amorphous materials may present significant problems in this regard. For example, such materials are typically difficult to handle and to formulate, provide for unreliable solubility, and are often found to be unstable and chemically impure.

The skilled person will appreciate that, if a drug can be readily obtained in a stable crystalline form, the above problems may be solved.

Thus, in the manufacture of commercially viable, and pharmaceutically acceptable, drug compositions, it is important, wherever possible, to provide drug in a substantially crystalline, and stable, form.

It is to be noted, however, that this goal is not always achievable. Indeed, typically, it is not possible to predict, from molecular structure alone, what the crystallisation behaviour of a compound, either as such or in the form of a salt, will be. This can only be determined empirically.

20

### Prior Art

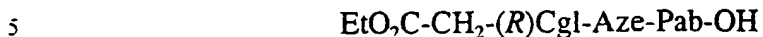
International patent application WO 97/23499 discloses a number of compounds, which have been found to be useful as prodrugs of thrombin inhibitors, which thrombin inhibitors are of the general formula:



wherein  $R^a$  represents H, benzyl or  $C_{1-6}$  alkyl, Cgl represents cyclohexylglycine, Aze represents S-azetidine-2-carboxylic acid and Pab-H represents 4-aminomethyl-amidinobenzene. The active thrombin

inhibitors are themselves disclosed in the earlier international patent application WO 94/29336.

WO 97/23499 also contains a specific disclosure of the compound:



wherein Pab-OH represents 4-aminomethyl-benzeneamidoxime. A process for the synthesis of this compound is described in Example 17 of WO 97/23499, where it is purified by preparative RPLC and isolated in an amorphous form.

10

Whether it is possible to provide  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  in a crystalline form is not disclosed in WO 97/23499. Furthermore, no information is provided in relation to how this compound may be obtained in such a form.

15

### Disclosure of the Invention

Surprisingly, we have found that  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  may be obtained in one or more forms that are substantially crystalline in nature.

20

Thus, according to a first aspect of the invention there is provided  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$ , or a pharmaceutically-acceptable salt thereof, in a substantially crystalline form (hereinafter referred to as "the compounds of the invention").

25

Although we have found that it is possible to produce  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$ , and salts thereof, in forms which are greater than 80% crystalline, by "substantially crystalline" we include greater than 10% (e.g. greater than 20%), preferably greater than 30%, and more preferably

greater than 40% crystalline. The degree (%) of crystallinity may be determined by the skilled person using X-ray powder diffraction (XRPD).

Other techniques, such as solid state NMR, FT-IR, Raman spectroscopy, differential scanning calorimetry (DSC) and microcalorimetry, may also  
5 be used.

Suitable pharmaceutically-acceptable salts which may be mentioned include inorganic, and organic, acidic and basic addition salts, such as hydrogen halide salts (e.g. HBr salts), carboxylic acid salts, lower  
10 alkanesulphonate salts (e.g. linear or branched C<sub>1-6</sub> alkanesulphonate, preferably C<sub>1-3</sub> alkanesulphonate, and especially ethane- and methanesulphonate salts) and ammonium and amine salts. Toluenesulphonate salts may also be mentioned. For a full list of salts that may be mentioned see Berge *et al*, J. Pharm. Sci., 66, 1 (1977).  
15 However, we prefer that the compounds of the invention are not in the form of a salt.

The compounds of the invention may be in the form of a solvate (by which we include a hydrate) or otherwise.

20

We have found, surprisingly, that the compounds of the invention have an improved stability when compared with EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH prepared as described in WO 97/23499.

25 According to a further aspect of the invention, there is thus provided a stable form of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH, or a pharmaceutically-acceptable salt thereof.

The term "stability" as defined herein includes chemical stability and solid state stability.

By "chemical stability", we include that the compound, or salt, can be  
5 stored in an isolated form, or in the form of a formulation in which it is  
provided in admixture with pharmaceutically acceptable carriers, diluents  
or adjuvants (e.g. in an oral dosage form, such as tablet, capsule etc.),  
under normal storage conditions, with an insignificant degree of chemical  
degradation or decomposition.

10

By "solid state stability", we include that the compound, or salt, can be  
stored in an isolated solid form, or in the form of a solid formulation in  
which it is provided in admixture with pharmaceutically acceptable  
carriers, diluents or adjuvants (e.g. in an oral dosage form, such as tablet,  
15 capsule etc.), under normal storage conditions, with an insignificant  
degree of solid state transformation (e.g. crystallisation, recrystallisation,  
solid state phase transition, hydration, dehydration, solvation or  
desolvation).

20 Examples of "normal storage conditions" include temperatures of between  
minus 80 and plus 50°C (preferably between 0 and 40°C and more  
preferably room temperatures, such as 15 to 30°C), pressures of between  
0.1 and 2 bars (preferably at atmospheric pressure), relative humidities of  
between 5 and 95% (preferably 10 to 75%), and/or exposure to 460 lux of  
25 UV/visible light, for prolonged periods (i.e. greater than or equal to six  
months). Under such conditions, compounds of the invention may be  
found to be less than 15%, more preferably less than 10%, and especially  
less than 5%, chemically degraded/decomposed, or solid state  
transformed, as appropriate. The skilled person will appreciate that the

above-mentioned upper and lower limits for temperature, pressure and relative humidity represent extremes of normal storage conditions, and that certain combinations of these extremes will not be experienced during normal storage (e.g. a temperature of 50°C and a pressure of 0.1 bar).

5

The compounds of the invention may be obtained advantageously by crystallising  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-Pab-OH}$ , or a salt of  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-Pab-OH}$ .

10 According to a further aspect of the invention, there is provided a process for the production of a compound of the invention which comprises crystallising  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-Pab-OH}$ , or a pharmaceutically-acceptable salt thereof.

15 It is possible to crystallise  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-Pab-OH}$  and pharmaceutically-acceptable salts thereof with or without the presence of a solvent system (e.g. crystallisation may be from a melt, under supercritical conditions, or achieved by sublimation). However, we prefer that the crystallisation is from an appropriate solvent system.

20

We have found that it is possible advantageously to crystallise  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-Pab-OH}$  and pharmaceutically-acceptable salts thereof *via* crystallisation following dissolution of the compound or salt in question, or, more advantageously, from solutions which have been extracted from  
25 reaction solutions in which the compound or salt in question has been formed, or, particularly advantageously, from reaction solutions within which the compound or salt in question has been formed.



The solvent system may be heterogeneous or homogeneous and may thus comprise one or more organic solvents, such as alkyl acetates (e.g. linear or branched C<sub>1-6</sub> alkyl acetates, such as ethyl acetate, *iso*-propyl acetate and butyl acetate), lower (e.g. linear or branched C<sub>1-6</sub>) alkyl alcohols (e.g. 5 ethanol, *iso*-propanol), aliphatic (e.g. C<sub>6-12</sub>, such as C<sub>7-12</sub>, aliphatic) hydrocarbons (e.g. *iso*-octane and *n*-heptane) and aromatic hydrocarbons (e.g. toluene), dialkyl ketones (e.g. acetone, methyl *iso*-butyl ketone), acetonitrile and dialkyl ethers (e.g. di-*iso*-propyl ether), and/or aqueous solvents, such as water. Mixtures of any of the above-mentioned solvents 10 may be used.

Different crystalline forms may have different solubilities in different organic solvents at any given temperature. In this respect, above-mentioned solvents may be employed as "antisolvents" (i.e. a solvent in 15 which compounds of the invention are poorly soluble), and may thus aid the crystallisation process.

When the crystallisation takes place from a reaction solvent in which EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH, or salt thereof, has been formed, or 20 from a solvent following an extraction, suitable solvents thus include alkyl acetates (such as ethyl acetate), toluene, methyl *iso*-butyl ketone, lower alkyl alcohols (such as ethanol) etc.

Crystallisation of compounds of the invention from an appropriate solvent 25 system may be achieved by attaining supersaturation in a solvent system which comprises EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH, or salt thereof, (e.g. by cooling, by solvent evaporation and/or *via* the addition of anti-solvent (i.e. a solvent in which the compounds of the invention are poorly soluble (e.g. *iso*-octane, *n*-heptane, di-*iso*-propyl ether, toluene, acetone))), or by

decreasing the solubility of the substance by the addition of a salt (such as NaCl or triethylamine•HCl).

Crystallisation temperatures and crystallisation times depend upon the  
5 concentration of the compound in solution, and upon the solvent system  
which is used.

Crystallisation may also be initiated and/or effected with or without  
seeding with crystals of the appropriate crystalline compound of the  
10 invention, and/or by adjustment of pH.

Compounds of the invention may be prepared in the form of a solvate (by  
which we include in the form of a hydrate, such as a monohydrate) or  
otherwise (e.g. in the form of an anhydrate). (The term "anhydrate",  
15 when used in this context, also includes "ansolvates".)

To ensure that anhydrate is produced, the solvent from which the  
crystallisation occurs should preferably be dried, either before or during  
the crystallisation process, in order to reduce the water content below a  
20 critical level, which should preferably not be exceeded during the  
crystallisation. Solvent may be dried during the crystallisation process,  
for example by decreasing the water content of a mixture of the compound  
to be crystallised and an appropriate organic solvent/aqueous solvent  
system (e.g. by increasing the amount of organic solvent that is present  
25 and/or removal of water by formation of an azeotrope, with successive  
distillations). Nonetheless, we have found that, for certain compounds of  
the invention that are anhydrates, such solvent drying is not necessary to  
ensure formation.

To ensure that monohydrate is produced, water must be present in the solvent from which the crystallisation occurs. The water content should preferably be kept above the critical level mentioned above during the crystallisation.

5

The "critical level" of water depends upon factors such as temperature, concentration in solution of the compound to be crystallised, impurity profile, and the solvent system which is employed, but may be determined non-inventively.

10

Thus, according to a further aspect of the invention, there is provided a compound of the invention which is in the form of an anhydrate, and a compound of the invention which is in the form of a monohydrate.

15 Crystalline anhydrate may be prepared by crystallising  $\text{EtO}_2\text{C}-\text{CH}_2-$   
(*R*)Cgl-Aze-Pab-OH from one or more organic solvents (such as ethyl acetate, butyl acetate, acetone, ethanol, *iso*-propanol, *iso*-octane, di-*iso*-propyl ether), water or mixtures thereof, which may have been dried, and/or may be dried during the crystallisation process, such that the water  
20 content is below the above-mentioned critical level. Thus, anhydrate may be produced by crystallisation from a solvent system which is substantially free of water.

By "substantially free of water", we include that the water content in the  
25 solvent system is below that which will result in the formation of, at most, 10% monohydrate, for any particular solvent system and set of crystallisation conditions.

Conversely, crystalline monohydrate may be prepared by crystallising EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH from a solvent system comprising water, or a combination of water and one or more organic solvents, including organic solvents that are capable of dissolving water (e.g. ethyl acetate, ethanol, *iso*-propanol).

Crystalline monohydrate may also be obtained by dissolving the substance in an aqueous solution (e.g. water or mixtures of water and an alcohol, such as ethanol or *iso*-propanol) with a low pH followed by the addition of a weak base until the pH exceeds (and is preferably just above) a value of about 5 to 6 (at room temperature).

Crystalline monohydrate may also be prepared *via* other crystalline forms (such as an anhydrous form). This is achieved if the critical water content referred to above is exceeded during the crystallisation. Similarly, a crystalline anhydrate may be prepared from crystalline monohydrate by lowering the water content to below the above-mentioned critical water content during the crystallisation process.

Whether anhydrate or monohydrate crystallises is related to the kinetics and equilibrium conditions of the respective forms at the specific conditions. Thus, as may be appreciated by the skilled person, the crystalline form that is obtained depends upon both the kinetics and the thermodynamics of the crystallisation process. Under certain thermodynamic conditions (solvent system, temperature, pressure and concentration of compound of the invention), one crystalline form may be more stable than another (or indeed any other). However, crystalline forms that have a relatively low thermodynamic stability may be kinetically favoured. Thus, in addition, kinetic factors, such as time,

impurity profile, agitation, the presence or absence of seeds, etc. may also influence which forms appear. Thus, the procedures discussed herein may be adapted by the skilled person as appropriate in order to obtain different crystalline forms.

5

Crystalline monohydrate may also be formed by elutriation of other crystalline forms (e.g. an anhydrous form) in water or a mixture of water and one or several organic solvents (such as ethanol or *iso*-propanol). The slurry which is formed should preferably be seeded with crystals of the crystalline monohydrate in order to ensure that an appropriate transformation takes place. As before, there is a critical water content, which depends on the solvent system which is employed and the temperature.

15 Thus, we have found that compounds of the invention in one crystalline form may be interconverted *via* recrystallisation to other crystalline forms.

According to a further aspect of the invention, there is provided a process for the conversion of one crystalline form of a compound of the invention to another which comprises recrystallising a compound of the invention from an appropriate solvent system.

Compounds of the invention that are anhydrides contain no more than 3%, preferably 2%, more preferably 1% and more preferably 0.5% (w/w) water, whether such water is bound (crystal water or otherwise) or not. Hydrates contain no less than 0.5 mol of water per mol of EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH.

25

Preferred compounds of the invention are those that are in the form of an anhydrate. According to a further aspect of the invention, there is provided a compound of the invention that contains no more than 3% (w/w), preferably no more than 2% (w/w), water, whether such water is  
5 bound (crystal water or otherwise) or not.

When the compound of the invention is to be produced in the form of an acidic or basic addition salt, an appropriate amount of the appropriate acid or base may be added to the crystallisation mixture before crystallisation is  
10 effected. Alternatively, after addition of the salt, all of the solvent(s) may be evaporated and the resultant crystalline and/or amorphous form of the salt may be re-dissolved in an appropriate solvent system, such as ethyl formate or an alkyl alcohol such as *n*-heptanol or *n*-octanol, followed by agitation of the resultant solution with a view to inducing crystallisation.

15

Preferred addition salts include acidic addition salts, such as hydrobromide and methanesulphonate salts.

20

The preparation, and characterisation, of *inter alia* anhydrate, and monohydrate, forms of compounds of the invention are described  
hereinafter. Different crystalline forms of the compounds of the invention (e.g. anhydrate and monohydrate) may be readily characterised using X-ray powder diffraction (XRPD) methods, for example as described  
hereinafter.

25

In order to ensure that crystalline forms as described herein are prepared in the absence of other crystalline forms described herein, crystallisations are preferably carried out by seeding with nuclei and/or seed crystals of the desired crystalline form in the complete absence of nuclei and/or seed

crystals of other crystalline forms described herein. This applies particularly to each of the specific crystalline forms, the preparation of which is described hereinafter in the Examples.

- 5 Compounds of the invention may be isolated using techniques which are well known to those skilled in the art, for example decanting, filtering or centrifuging.

Compounds may be dried using standard techniques. It will be appreciated by the skilled person that drying temperature and drying time  
10 may affect the solid state properties of compounds (or salts) that are in the form of solvates, such as hydrates (e.g. dehydration may occur at elevated temperatures and/or reduced pressure). For example, following the formation of crystalline monohydrate, there is a critical humidity below  
15 which the drying should not be performed, as the crystal water may be lost and a solid state transformation may occur, i.e. the crystal water will be lost if the crystals are dried at high temperatures or at very low pressures for a longer period.

- 20 We have found that, by employing the crystallisation process as described herein, it is possible to produce compounds of the invention with a chemical purity which is above that of the  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$ , or salt, which is to be isolated in the first instance.

- 25 Further purification of compounds of the invention may be effected using techniques which are well known to those skilled in the art. For example impurities may be removed by way of recrystallisation from an appropriate solvent system (e.g. ethyl acetate, *iso*-propanol, *iso*-octane, ethanol, water or a combination of these solvents). Suitable temperatures

and times for the recrystallisation depend upon the concentration of the compound, or salt, in solution, and upon the solvent system which is used.

When compounds of the invention are crystallised, or recrystallised, as described herein, the resultant compound, or salt, is in a form which has the improved chemical and solid state stability mentioned hereinbefore.

### **Pharmaceutical Preparations and Medical Uses**

In accordance with the invention, the compounds of the invention may be administered orally, intravenously, subcutaneously, buccally, rectally, dermally, nasally, tracheally, bronchially, by any other parenteral route, or *via* inhalation, in the form of a pharmaceutical preparation comprising the compound of the invention in a pharmaceutically acceptable dosage form. However we prefer that the compound of the invention is a form which is suitable for oral administration.

Depending on the disorder, and the patient to be treated, as well as the route of administration, the compounds may be administered at varying doses (see below).

The compounds of the invention may be further processed before formulation into a suitable pharmaceutical formulation. For example, the crystalline form may be milled or ground into smaller particles.

According to a further aspect of the invention, there is provided a pharmaceutical formulation including a compound of the invention in admixture with a pharmaceutically acceptable adjuvant, diluent or carrier.



The amount of compound of the invention which is employed in such a formulation will depend on the condition, and patient, to be treated, as well as the compound(s) which is/are employed, but can be determined non-inventively.

5

The compounds of the invention are useful because they are metabolised in the body following administration to form compounds which possess pharmacological activity. They are therefore indicated as pharmaceuticals, and in particular as prodrugs of pharmacologically-active  
10 compounds.

In particular, the compounds of the invention, although inactive to thrombin *per se*, are metabolised in the body to form potent inhibitors of thrombin, for example as demonstrated in the tests described in WO  
15 97/23499. The compounds of the invention are expected to be useful in the treatment of conditions where inhibition of thrombin is required or desirable, including those described in WO 97/23499, the disclosure in which document is hereby incorporated by reference.

20 Suitable doses of the compound of the invention in the therapeutic and/or prophylactic treatment of mammalian, especially human, patients are in the range 2 to 200 mg per day at peroral administration, and 1 to 100 mg per day at parenteral administration and/or 0.001 to 20 mg/kg, preferably 0.01 to 5 mg/kg, body weight per day at peroral administration and  
25 0.0005 to 10 mg/kg, preferably 0.005 to 2.5 mg/kg, body weight at parenteral administration.

According to a further aspect of the invention there is provided a method of treatment of a condition where inhibition of thrombin is required or

desired, which method includes administering a therapeutically effective amount of a compound of the invention to a patient in need of such treatment.

- 5 For the avoidance of doubt, by "treatment" we include the therapeutic treatment, as well as the prophylaxis, of a condition.

The compounds of the invention have the advantage that they are in a form which provides for improved ease of handling. Further, the compounds of  
10 the invention have the advantage that they may be produced in forms which have improved chemical and solid state stability (including lower hygroscopicity). Thus, the compounds may be stable when stored over prolonged periods.

- 15 Compounds of the invention may also have the advantage that they may be crystallised in good yields, in a higher purity, in less time, more conveniently, and at a lower cost, than forms of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  prepared previously.

- 20 The invention is illustrated, but in no way limited, by the following examples, with reference to the enclosed figures in which:

Figure 1 shows a X-ray powder diffractogram for the crystalline form of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  obtained by way of Example 1.

25

Figure 2 shows a X-ray powder diffractogram for the crystalline form of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  monohydrate obtained by way of Example 6.

Figure 3 shows a X-ray powder diffractogram for the crystalline form of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH hydrobromide obtained by way of Example 9.

- 5 Figure 4 shows a X-ray powder diffractogram for the crystalline form of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH methanesulphonate obtained by way of Example 12.

- Figure 5 shows a X-ray powder diffractogram for the crystalline form of  
10 EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH obtained by way of Example 14.

#### General Procedures

- X-ray powder diffraction analysis (XRPD) was performed on samples  
15 prepared according to standard methods, for example those described in  
Giacovazzo, C. *et al* (1995), *Fundamentals of Crystallography*, Oxford  
University Press; Jenkins, R. and Snyder, R. L. (1996), *Introduction to X-  
Ray Powder Diffractometry*, John Wiley & Sons, New York; Bunn, C. W.  
(1948), *Chemical Crystallography*, Clarendon Press, London; or Klug, H.  
20 P. & Alexander, L. E. (1974), *X-ray Diffraction Procedures*, John Wiley  
and Sons, New York. X-ray analyses were performed using a Siemens  
D5000 diffractometer and/or a Philips X'Pert MPD.

- Differential scanning calorimetry (DSC) was performed using a Mettler  
25 DSC820 instrument, according to standard methods, for example those  
described in Höhne, G. W. H. *et al* (1996), *Differential Scanning  
Calorimetry*, Springer, Berlin.

Thermogravimetric analysis (TGA) was performed using a Mettler Toledo TGA850 instrument.

Forms prepared in accordance with the Examples below showed  
5 “essentially” the same XRPD diffraction patterns and/or DSC and/or TGA  
thermograms as other Examples disclosed below, when it was clear from  
the relevant patterns/thermograms (allowing for experimental error) that  
the same crystalline form had been formed. Thus, DSC onset  
temperatures may vary in the range  $\pm 5^{\circ}\text{C}$  (e.g.  $\pm 2^{\circ}\text{C}$ ), and XRPD  
10 distance values may vary in the range  $\pm 2$  on the last decimal place.

#### Example 1

##### Crystallisation of $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$

200 mg of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  (amorphous; obtained by  
15 freeze drying product obtained according to the method described in  
Example 17 of International Patent Application WO 97/23499) was  
dissolved in a di-*iso*-propylether:*iso*-propanol mixture (14 mL; 1:1). A  
homogeneous solution was initially formed which was allowed to stand for  
24 hours at room temperature. Filtration and drying (ambient  
20 temperature) gave 160 mg of crystalline product.

The crystals were analyzed by XRPD and the results are tabulated below  
(Table 1, in which RI represents relative intensity) and are shown in  
Figure 1.

Table 1

d-value/Å	RI	d-value/Å	RI	d-value/Å	RI
12.0	vs	4.42	m	3.10	w
10.0	m	4.22	w	3.03	w
8.2	s	4.11	m		
7.2	m	4.06	m		
6.0	m	3.99	m		
5.5	m	3.78	w		
5.0	m	3.72	m		
4.92	s	3.62	m		
4.85	s	3.34	w		
4.80	m	3.11	w		

A unit cell was determined from single crystal X-ray data. It was triclinic, with P1 symmetry, Z=1, and the following dimensions: a =  
 5.149(1) Å, b = 10.466(1) Å, c = 12.317(1) Å,  $\alpha$  = 80.32(1)°,  $\beta$  =  
 79.78(1)°,  $\gamma$  = 75.57(1)°, and V = 627.2(2) Å<sup>3</sup>.

DSC showed an endotherm with an extrapolated onset temperature of *ca.*  
 150°C (*ca.* 113 J/g). TGA showed a decrease in mass of *ca.* 0.6% (w/w)  
 around 150°C

Example 2Preparation of Crystalline EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH from an  
Extracted Solution

70 mL of ethyl acetate was charged into a 140.3 g extracted solution of  
 EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (prepared following an analogous  
 synthesis to the procedure described in WO 97/23499, using ethanol as  
 solvent, quenching with acetone, concentrating the resultant solution, and

extracting with ethyl acetate). The solution thus prepared comprised ethyl acetate, ethanol and water (8.7% w/w). The solution was concentrated and dried by successive distillations, performed at 250 mbar and a bath temperature of 60°C. 70 mL of ethyl acetate was charged into the initial  
5 solution, which was concentrated until 46 g of solution remained. 103 mL of ethyl acetate was then charged into the solution, which was again concentrated until 70.5 g remained. Seeds of crystals obtained analogously to the method described in Example 3 were added and the resultant suspension was agitated for 3 hours at 40°C, cooled to 20°C for  
10 5 hours, then to 5°C for 2.5 hours, and finally to -5°C for 1 hour. The slurry was then agitated at the final temperature overnight and the crystals were filtered off, washed with ethyl acetate and dried at 40°C under reduced pressure overnight.

15 The crystals were analyzed by XRPD, DSC and TGA, and showed essentially the same diffraction pattern, onset temperature, and decrease in mass, as those exhibited by the form obtained according to Example 1 above.

20 Recrystallisation of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH

Example 3

3.0 g EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (crude; obtained according to the method described in Example 2 above) was dissolved in 49.5 mL of *iso*-  
25 propanol and 4.5 mL of purified water at 60°C. The solution was concentrated and dried by successive distillations, performed at 100 mbar and a bath temperature of 60°C. The solution was initially concentrated, until 17.5 g remained. 30 mL of *iso*-propanol was then charged into the resultant, and the solution was concentrated until 20 g remained. 1.8 g of

*iso*-propanol and seeds of crystalline EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH (obtained *via* a process that was analogous to the method described in Example 5 below) were then charged into the solution. The suspension was agitated for 3 hours at 40°C, and then cooled to 20°C for 5 hours, to 5°C for 2.5 hours, and to -5°C for 1 hour. The slurry was then agitated at the final temperature overnight. The crystals were filtered off, washed with *iso*-propanol and dried at 40°C under reduced pressure overnight.

The crystals were analyzed by XRPD, DSC and TGA, and showed essentially the same diffraction pattern, onset temperature, and decrease in mass, as those exhibited by the form obtained according to Example 1 above.

#### Example 4

4.0 g EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH (crude; obtained according to the method described in Example 2 above) was dissolved in 2 mL of purified water, 16 mL of ethanol and 45 mL of ethyl acetate at 55°C. The solution was concentrated and dried by successive distillations performed at 250 mbar and a bath temperature of 55°C. The solution was initially concentrated, until 30 g remained. 32 mL of ethyl acetate was then charged into the resultant, and the solution was concentrated until 28 g remained. An additional 16 mL of ethyl acetate was added and the solution concentrated until 33 g remained. Seeds of crystalline EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH (obtained *via* Example 3 above) was added to the solution. The suspension was agitated for 3 hours at 40°C, and then cooled to 20°C for 5 hours, to 5°C for 2.5 hours, and to -5°C for 1 hour. The slurry was then agitated at the final temperature overnight. The crystals were filtered off, washed with ethyl acetate and dried at 40°C under reduced pressure overnight.

The crystals were analyzed by XRPD, DSC and TGA, and showed essentially the same diffraction pattern, onset temperature, and decrease in mass, as those exhibited by the form obtained according to Example 1  
5 above.

#### Example 5

3.0 g EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH (crude; obtained according to the method described in Example 2 above) was dissolved in 4.5 mL of  
10 purified water and 49.5 mL of *iso*-propanol at 55 to 60°C. The solution was concentrated and dried by successive distillations performed at 100 mbar and a bath temperature of 60°C. The solution was initially concentrated until 16 g remained. The solution was agitated at 40°C, 18 mL of *iso*-octane was then charged into the resultant, and seeds of  
15 crystalline EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH (obtained *via* Example 3 above) were added to the solution. The suspension was agitated for 3 hours at 40°C, and then cooled to 15°C for 6 hours. The slurry was then agitated at the final temperature overnight. The crystals were filtered off, washed with a mixture of *iso*-propanol:*iso*-octane (1:1) and dried at 40°C  
20 under reduced pressure overnight.

The crystals were analyzed by XRPD, DSC and TGA, and showed essentially the same diffraction pattern, onset temperature, and decrease in mass, as those exhibited by the form obtained according to Example 1  
25 above.



Crystallisation of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH monohydrateExample 6

3.0 g of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (crude; obtained according to  
5 the method described in Example 2 above) was dissolved in 1.5 mL of  
purified water, 10.5 mL of ethanol and 36 mL of ethyl acetate at 55°C.  
The solution was concentrated by distillation at 250 mbar and a bath  
temperature of 55°C until 18 g remained. 30 mL of ethyl acetate was  
then charged into the solution, which was then concentrated until 21 g  
10 remained. 3.7 g of ethyl acetate, 0.9 g purified water and seeds of  
crystalline EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (obtained *via* Example 3  
above) were added to the solution. The suspension was agitated for 3  
hours at 40°C, and then cooled to 20°C for 5 hours, to 5°C for 2.5 hours  
and to -5°C for 1 hour. The slurry was then agitated at the final  
15 temperature for an additional day. The crystals were filtered off, washed  
with ethyl acetate and dried at 40°C under reduced pressure (150 mbar)  
overnight.

The crystals were analyzed by XRPD and the results are tabulated below  
20 (Table 2, in which RI represents relative intensity) and are shown in  
Figure 2.

DSC showed an endotherm with an extrapolated onset temperature of *ca.*  
94°C (*ca.* 171 J/g). The crystals were analysed by Karl-Fischer titration  
25 and by TGA. TGA showed a decrease in mass of *ca.* 3.5% (w/w) around  
85°C, corresponding to a monohydrate, and a decomposition starting  
around 210°C.

Table 2

d-value/Å	RI	d-value/Å	RI	d-value/Å	RI
16.4	s	4.57	m	3.47	w
13.7	s	4.48	m	3.34	m
9.4	vs	4.27	s	3.26	w
8.2	w	4.21	w	3.02	w
7.1	m	4.11	m	2.61	w
6.2	m	4.04	m	2.42	w
5.5	w	3.93	w		
5.1	m	3.89	m		
4.98	w	3.83	m		
4.75	s	3.68	m		
4.68	s	3.52	w		

Example 7

5.0 g of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (crude; obtained according to the method described in Example 2 above) was dissolved in 45 mL of *iso*-propanol and 30 mL of purified water at 40°C. The solution was filtered until clear and concentrated by distillation under reduced pressure at 40°C until 45 g remained. The solution was then cooled by 10°C/hour from 40 to -10°C. The slurry was then agitated for one day at the final temperature and the crystals filtered off, washed with *iso*-propanol and dried at 40°C under reduced pressure (150 mbar) overnight.

The crystals were analyzed by XRPD, DSC and TGA, and showed essentially the same diffraction pattern, onset temperature, and decrease in mass, as those exhibited by the form obtained according to Example 6 above.

Example 8

2.7 g of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (crude; obtained according to the method described in Example 2 above) was dissolved at 70°C in 20 mL of ethanol and 30 mL of purified water. The solution was agitated  
5 and seeded with crystals of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (obtained analogously to the method described in Example 6 above). The ethanol was slowly evaporated over two days. The crystals were filtered off and dried at 40°C (200 mbar) over the weekend.

10 The crystals were analyzed by XRPD, DSC and TGA, and showed essentially the same diffraction pattern, onset temperature, and decrease in mass, as those exhibited by the form obtained according to Example 6 above.

15 Preparation of Crystalline EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH • HBr

Example 9

7.08 g of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (crude; obtained according to the method described in Example 2 above) was dissolved in 200 mL of  
20 *iso*-propanol and 2.52 g of 47% HBr at 40°C. The solution was evaporated to dryness at 40°C and reduced pressure. An additional 120 mL of *iso*-propanol was charged into the resultant residue in order to rid it of its water content, and the resultant solution was evaporated to dryness. The amorphous substance was then dissolved in heptanol at ambient  
25 temperature. After some agitation, crystals formed. The slurry was agitated overnight, the crystals filtered off, washed with heptanol and dried at 80°C under reduced pressure overnight.

The crystals were analyzed by titration with 0.1M NaOH and titration with 0.1M AgNO<sub>3</sub>. The concentration of substance according to the titration with NaOH was 96.6% (w/w). The amount of Br according to the AgNO<sub>3</sub> titration was 13.9% (w/w) which is indicative, assuming a  
 5 mono HBr salt, of a salt concentration of 96.4% (w/w). The remainder was solvent residue.

The crystals were analyzed by XRPD and the results are tabulated below (Table 3, in which RI represents relative intensity) and are shown in  
 10 Figure 3.

Table 3

d-value/Å	RI	d-value/Å	RI	d-value/Å	RI
12.0	vs	4.35	m	3.25	w
10.1	s	4.17	w	3.06	w
9.5	m	4.07	m	2.92	w
6.0	m	4.03	m	2.86	w
5.7	s	3.92	m	2.71	w
5.6	m	3.82	m	2.53	w
5.2	m	3.72	w	2.33	w
5.1	s	3.69	m		
4.95	s	3.62	w		
4.74	w	3.51	w		
4.57	w	3.48	m		
4.41	m	3.38	m		

DSC showed an endotherm with an extrapolated onset temperature of *ca.*  
 15 166°C (*ca.* 69 J/g).

Example 10

8 g of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  (crude; obtained according to the method described in Example 2 above) was dissolved in 120 mL of *iso*-propanol and 2.9 g of 47% HBr at 40 to 50°C. The solution was  
5 evaporated to dryness, yielding an amorphous substance, which was dissolved in 1-octanol. The solution was agitated at ambient temperature and, after a while, crystallization occurred. The slurry was agitated overnight, the crystals filtered off, washed with 1-octanol and dried at 80°C under reduced pressure over the weekend.

10

The crystals were analyzed by XRPD and DSC, and showed essentially the same diffraction pattern, and onset temperature, as those exhibited by the form obtained according to Example 9 above.

15 Example 11

1 g of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  (crude; obtained according to the method described in Example 2 above) was dissolved in 22 mL of *iso*-propanol and 0.36 g of 47% HBr at 45°C. The solution was filtered until  
20 clear and concentrated by distillation at reduced pressure until 3.85 g remained. The solution was agitated at 40°C and 6 mL of toluene was added slowly. The solution was seeded with crystals of the HBr salt (obtained analogously to the method described in Example 9 above). When the suspension became white, an additional 9 mL of toluene was added. The slurry was agitated and the temperature was decreased to 20°C. The  
25 slurry was agitated overnight, the crystals filtered off, washed with toluene and dried at 40°C under reduced pressure.

The crystals were analyzed by XRPD and DSC, and showed essentially the same diffraction pattern, and onset temperature, as those exhibited by the form obtained according to Example 9 above.

5    Preparation of Crystalline EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH • CH<sub>3</sub>SO<sub>3</sub>H

Example 12

10    10 g of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (crude; obtained according to the method described in Example 2 above) was dissolved in 500 mL of *iso*-propanol and 2.03 g of methanesulphonic acid (1 eq.) at 50°C. The solution was evaporated to dryness at 50°C under reduced pressure resulting in the formation of an amorphous solid material. 1.5 g of this substance was dissolved in 15 mL ethyl formate, and the solution was agitated at ambient temperature overnight. The formed crystals were  
15    filtered off, washed with ethyl formate and dried at 40°C under reduced pressure overnight. The crystals were analyzed by titration with 0.1 M NaOH, a two-phase titration. A concentration (99.9%) was calculated based on the assumption of formation of the mono-mesylate.

20    The crystals were analyzed by XRPD and the results are tabulated below (Table 4, in which RI represents relative intensity) and are shown in Figure 4.

DSC showed an endotherm with an extrapolated onset temperature of *ca.*  
25    134°C (*ca.* 93 J/g).

Table 4

d-value/Å	RI	d-value/Å	RI	d-value/Å	RI
12.0	s	4.63	m	2.88	m
11.7	vs	4.54	m	2.76	m
10.4	s	4.46	s	2.74	m
10.2	s	4.15	s	2.69	w
8.3	w	4.06	s	2.65	m
7.8	s	3.92	s	2.54	w
6.0	m	3.84	s	2.52	w
5.6	s	3.74	m	2.49	w
5.5	s	3.65	s	2.27	w
5.2	s	3.56	s	2.21	w
5.1	m	3.47	m	2.04	w
5.0	s	3.39	m	2.02	w
4.98	s	3.22	m		
4.90	s	3.12	m		
4.75	m	2.95	w		

Example 13

3.0 g of the amorphous substance from the first part of Example 12 above  
5 was dissolved in 15 mL octanol. The solution was agitated at ambient  
temperature overnight. The formed crystals were filtered off, washed  
with octanol and dried at 40°C under reduced pressure overnight.

The crystals were analyzed by XRPD and DSC, and showed essentially  
10 the same diffraction pattern, and onset temperature, as those exhibited by  
the form obtained according to Example 12 above.

Preparation of Further Crystalline Form of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH from EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-NH-CH<sub>2</sub>-C<sub>6</sub>H<sub>4</sub>-CN

Example 14

- 5 0.0029 g of EDTA was dissolved in 16.4 g of aqueous hydroxylamine (50% (w/w)) and 110 mL ethanol, and was then charged into a jacketed glass reactor which was kept at a temperature of 32°C. 118.5 g of a solution of glycine, N-[1-cyclohexyl-2-[2-[[[4-[cyano]phenyl]methyl]-amino]carbonyl]-1-azetidiny]-2-oxoethyl]-, ethyl ester, (*S*-(*R*\*, *S*\*))
- 10 (EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-NH-CH<sub>2</sub>-C<sub>6</sub>H<sub>4</sub>-CN) in butyl acetate (approx. concentration 36%(w/w)) was charged into the reactor. After 18 hours agitation, the solution/suspension was opaque. A sample was withdrawn to check the conversion using HPLC. The reaction was quenched by adding 14.4 mL acetone, followed by addition of 307 mL butyl acetate.
- 15 The jacket temperature was set to 70°C and the suspension was agitated for 1 hour at a temperature of 70°C to dissolve the precipitate. The temperature of the thermostatic bath was then set to 40°C and the solution was agitated for additional 78 hours. Particles were still present. The precipitate was filtered off. The filtrate was charged to a jacketed glass
- 20 reactor and agitated at 40°C. An extraction program was started. All of the extractions were carried out at 40°C. 110 mL Na<sub>2</sub>CO<sub>3</sub>/NaCl (10%/10% (w/w)) was charged into the reactor, the solution was mixed for 10-20 minutes. Agitation was then stopped, allowing the phases to separate. The water phase was removed. 12.5 g ethanol and additional
- 25 110 mL of aqueous Na<sub>2</sub>CO<sub>3</sub>/NaCl (10%/10% (w/w)) was added, the solution was agitated for a few minutes and the phases were then allowed to separate. The water phase was removed, and 12.5 g ethanol and 110 mL of the aqueous Na<sub>2</sub>CO<sub>3</sub>/NaCl (10%/10% (w/w)) solution were added. The resultant solution was agitated for a few minutes and the two phases



were then allowed to separate. The water phase was removed. 4 g of ethanol and 31 mL of water were added to the organic phase, which was agitated. The pH was adjusted to 7 by adding HCl (8% (w/w)). The solution was mixed, the two phases were allowed to separate and the water  
5 phase was removed. 52.9 g of the extraction solution, which comprised around 4 g of  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-Pab-OH}$  was charged into a round-bottom flask. 4 mL of a 35:65 (v/v) mixture of butyl acetate and ethanol was charged to the same flask. Solvent was evaporated using a rotary evaporator at a pressure of 100-200 mbar, and a bath temperature of 73°C  
10 until 53.1 g solution remained. An additional 4 mL of the above solvent mixture was then added, and more solvent was evaporated until 54.74 g of solution remained. This procedure was repeated several times: 4 mL was added, the solution was concentrated by evaporation until 56.02 g remained, 4 mL was added, the solution was again concentrated until  
15 56.04 g remained, an additional 4 mL was charged, and the solution was concentrated again until 33.43 g remained. 2.2 g of the solution was withdrawn for analysis of solvent composition by GC and water content by Karl Fischer titration. The water content was 1.0% (w/w). 0.34 mL of water was charged into the flask, which was placed in a thermostatic bath  
20 kept at 40°C. The solution was agitated using a teflon impeller for 3 hours and then cooled to 20°C over 5 hours, to 5°C over 2.5 hours and to -5°C over one hour. The solution was then agitated for two hours at -5°C. The solution was still almost clear. The solution was then cooled to -20°C over 1.5 hours and agitated at that temperature for additional 20  
25 hours. A very viscous white slurry was formed. The crystals were filtered off by vacuum filtration and then dried overnight at 150 mbar and 40°C.

The crystals were analysed by GC, XRPD, TGA, DSC and Karl Fischer titration. The XRPD results are tabulated below (Table 5, in which RI represents relative intensity) and are shown in Figure 5.

5 Table 5

d-value/Å	RI	d-value/Å	RI	d-value/Å	RI
12.0	s	4.31	w	3.25	w
11.1	vs	4.29	m	3.22	vw
8.8	m	4.25	m	3.10	w
7.2	m	4.06	w	3.03	w
6.8	vw	4.00	vw	3.00	vw
6.6	m	3.82	w	2.91	w
6.5	vw	3.73	w	2.78	w
6.4	vw	3.71	m	2.73	w
6.0	w	3.69	w	2.62	vw
5.8	m	3.66	w	2.51	vw
5.6	w	3.59	m	2.46	w
5.3	m	3.55	w	2.40	w
5.2	s	3.41	w	2.38	w
4.75	m	3.37	w	2.34	vw
4.52	w	3.34	w	2.29	vw
4.39	m	3.29	vw	2.26	vw

DSC showed an endotherm with an extrapolated onset temperature of *ca.* 169°C (*ca.* 142 J/g). TGA showed a decrease in mass of *ca.* 0.7% (w/w) around 170°C.

10

A unit cell was determined from single crystal X-ray data. It was orthorhombic, with  $P2_12_12_1$  symmetry,  $Z=4$ , and the following

dimensions:  $a = 7.753(1) \text{ \AA}$ ,  $b = 14.331(1) \text{ \AA}$ ,  $c = 22.276(1) \text{ \AA}$ ,  $\alpha = \beta = \gamma = 90^\circ$ , and  $V = 2471.6(4) \text{ \AA}^3$ .

### Example 15

- 5 To a solution of around 9 g of  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-NH-CH}_2\text{-C}_6\text{H}_4\text{-CN}$  in a mixture of ethyl acetate/purified water (concentration of solute around 23% (w/v total), water concentration around 10% (w/w total)), 50 mL of ethanol was charged. The temperature of the solution was adjusted to 39°C and a mixture of 0.61 mg EDTA and 3.62 g hydroxylamine (aq;  
10 50% (w/w)) was charged into the reactor. After 1 h 50 min, seed crystals of  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-Pab-OH}$  anhydrate (prepared analogously to the method described in Example 14 above) were added. The suspension was agitated for 21 hours. 3.18 g of acetone was then charged into the reactor, and the suspension was agitated for an additional 30 minutes.
- 15 The suspension was then heated to 60°C over 3 hours. The temperature was kept at 60°C for 6 hours and then cooled by decreasing the jacket temperature by 10°C/h until the temperature was close to -10°C. The crystals were then aged for an additional 2 hours. The crystals were separated from the mother liquor by filtration, washed with 20 mL,  
20 followed by a further 10 mL, of ethanol and then dried at 40°C under reduced pressure.

The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and  
25 decrease in mass, as those exhibited by the form obtained according to Example 14 above.

Example 16

To a solution of around 4.5 g of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-NH}-\text{CH}_2-\text{C}_6\text{H}_4-\text{CN}$  in a mixture of ethyl acetate/purified water (concentration of solute around 24% (w/v total), water concentration around 10% (w/w total)), 9  
5 mL of ethyl acetate and 11.2 mL of ethanol were charged. The temperature of the solution was adjusted to 41°C and a mixture of 0.3 mg EDTA and 1.78 g of hydroxylamine (aq; 50% (w/w)) was charged into the round bottom flask. After 1 h 40 min, seed crystals of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  anhydrate (prepared analogously to the method  
10 described in Example 14 above) were added. The suspension was agitated for 22 hours. 2.79 g of acetone was then charged to the round bottom flask and the suspension was agitated for additional 19 hours. The crystals were separated from the mother liquor by filtration, washed with 2 x 10 mL of ethanol and then dried at 40°C under reduced pressure.

15

The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to Example 14 above.

20

Example 17

To a solution of around 30 kg of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-NH}-\text{CH}_2-\text{C}_6\text{H}_4-\text{CN}$  in 130 L of a mixture of ethyl acetate/water, 151 kg of ethanol was charged. The temperature of the solution was adjusted to 38°C and a  
25 mixture of 2.1 g EDTA and 12.0 kg hydroxylamine (aq.; 51% (w/w)) was charged into the reactor. After around 1.5 to 2 hours, 171 g of seed crystals of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  anhydrate (prepared analogously to the method described in Example 14 above) were added. The suspension was agitated for around 23 hours. 19 kg of acetone was

then charged into the reactor and the suspension was agitated for an additional 30 minutes. The suspension was then heated to 73°C until all of the crystals were dissolved. The solution was cooled by decreasing the jacket temperature by 10°C/h. When the temperature was around 61°C, a  
5 further 172 g of the same seed crystals were added, and the cooling was continued until the temperature was close to -10°C. The crystals were then aged for an additional 11 hours. The crystals were separated from the mother liquor by centrifugation, washed with 90 kg *iso*-propanol and then dried at 40°C under reduced pressure.

10

The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to Example 14 above.

15

#### Example 18

To a solution of around 5 g of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-NH}-\text{CH}_2-\text{C}_6\text{H}_4-\text{CN}$  in an ethyl acetate/water mixture (concentration of solute around 26% (w/v total), water concentration around 10% (w/w total)), 12.5 mL of  
20 ethanol was charged. The temperature of the solution was adjusted to 41°C and a mixture of 0.3 mg of EDTA and 7.49 g of hydroxylamine (aq; 50% (w/w)) were charged into the round bottom flask. After 1 h 40 min, seed crystals of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  anhydrate (prepared analogously to the method described in Example 14 above) were added.  
25 The suspension was agitated for 22 hours. 2.79 g of acetone was then charged into the round bottom flask and the suspension was agitated for an additional 19 hours. The crystals were separated from the mother liquor by filtration, washed with 2 x 10 mL of ethanol and then dried at 40°C under reduced pressure.

The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to Example 14 above.

#### Example 19

To a solution of around 9 g of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-NH}-\text{CH}_2-\text{C}_6\text{H}_4-\text{CN}$  in a mixture of ethyl acetate/purified water (concentration of solute around 23% (w/v total), water concentration around 10% (w/w total)), 56 mL of ethanol was charged. The temperature of the solution was adjusted to 50°C and a mixture of 0.6 mg of EDTA and 3.49 g of hydroxylamine (aq; 50% (w/w)) was charged into the round bottom flask. After 1 h 40 min, 50 mg of seed crystals of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$  anhydrate (prepared analogously to the method described in Example 14 above) were added. The suspension was agitated for 18 hours. 3.1 g of acetone was then charged to the round bottom flask and the suspension was agitated for additional 30 minutes. The suspension was then heated to 75°C over 0.5 hours. 40 minutes later, the temperature of the bath was lowered to 25°C (i.e. the crystal suspension was cooled by natural cooling). 21 hours later, the crystals were separated from the mother liquor by filtration and then dried at 40°C under reduced pressure.

The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to Example 14 above.

Recrystallisation of EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH obtained analogously to methods of Examples 14 to 19

Example 20

- 5 2.0 g of crude EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (obtained analogously to methods described in Examples 14 to 19 above) was dissolved in a mixture of 13 mL of *iso*-propanol, 5 mL purified water and 0.65 g of ethanol at 70°C. The solution was agitated and cooled from 70 to 55°C over 1.5 h. 2 mL of acetone was then added and the cooling was
- 10 continued from 55°C to 30°C over 5 h, and from 30°C to 0°C over 3 h. The suspension was then agitated for 340 min at the final temperature. The crystals were filtered off and washed with 4 mL of *iso*-propanol and thereafter dried at 40°C under reduced pressure.
- 15 The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to Example 14 above.

20 Example 21

- 2.0 g of crude EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH (obtained analogously to methods described in Examples 14 to 19 above) was dissolved in a mixture of 1.5 mL of *iso*-propanol, 4.8 mL of purified water and 0.001 g of sodium hydroxide dissolved in 0.2 mL of purified water at 70°C. The
- 25 solution was agitated for 1.5 h at 70°C and thereafter cooled to 0°C over 7 h. The suspension was agitated for 55 min at the final temperature. The crystals were filtered off and washed with 5 mL of *iso*-propanol and thereafter dried at 40°C under reduced pressure.

The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to Example 14 above.

5

#### Example 22

1.5 g of crude  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-Pab-OH}$  (obtained analogously to methods described in Examples 14 to 19 above) was dissolved in a mixture of 10.13 mL of *iso*-propanol, 3.75 mL of purified water, and  
10 1.13 mL of ethyl acetate at 70°C. The solution was cooled to 55°C and thereafter to 0°C over 5.5 h. The suspension was agitated for 695 min. at the final temperature. The crystals were filtered off and washed with 3 mL of *iso*-propanol and thereafter dried at 40°C under reduced pressure.

15 The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to Example 14 above.

#### Example 23

20 31.0 kg of crude  $\text{EtO}_2\text{C-CH}_2\text{-(R)Cgl-Aze-Pab-OH}$  (obtained analogously to methods described in Examples 14 to 19 above) was dissolved in a mixture of 158 kg of *iso*-propanol and 78 kg of purified water at 71°C. A further 24 kg of *iso*-propanol was added following clear filtration. The  
25 solution was thereafter cooled to 57°C and crystallisation was started by adding 78 g of seed crystals (obtained analogously to the method described in Example 14 above). The suspension was agitated whilst being cooled by 10°C/h to 0°C. The suspension was then agitated for 11 h at the final temperature. After centrifugation of the slurry, the crystals were washed



with 84 kg of *iso*-propanol and thereafter dried at 40°C under reduced pressure.

The crystals were analysed by XRPD, DSC and TGA. The analyses  
5 showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to Example 14 above.

#### Example 24

10 3.0 g of crude EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH (obtained analogously to methods described in Examples 14 to 19 above) was dissolved in a mixture of 22.5 mL of *iso*-propanol, 7.5 mL of purified water and 37.1 mg of hydrochloric acid (32 %) at 70°C. The solution was agitated for 3 h at 70°C and thereafter cooled to 55°C over 0.5 h, whereupon  
15 crystallisation started without seeding. The suspension was then cooled to 0°C over 5.5 h. The suspension was agitated for 740 min at the final temperature. The crystals were filtered off and washed with 7.5 mL of *iso*-propanol and thereafter dried at 40°C under reduced pressure.

20 The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to Example 14 above.

#### Example 25

25 3.0 g of EtO<sub>2</sub>C-CH<sub>2</sub>-(*R*)Cgl-Aze-Pab-OH (obtained analogously to methods described in Examples 14 to 19 above) was dissolved in a mixture of 22.5 mL *iso*-propanol and 7.5 mL purified water at 70°C. The solution was agitated for 3 h at 70°C and thereafter cooled to 55°C over

0.5 h, whereupon crystallisation started without seeding. The suspension was then cooled to 0°C over 5.5 h. The suspension was agitated for 760 min at the final temperature. The crystals were filtered off and washed with 7.5 mL of *iso*-propanol and thereafter dried at 40°C under reduced  
5 pressure.

The crystals were analysed by XRPD, DSC and TGA. The analyses showed essentially the same XRPD pattern, DSC thermogram, and decrease in mass, as those exhibited by the form obtained according to  
10 Example 14 above.

#### Abbreviations

vs = very strong

s = strong

15 m = medium

w = weak

vw = very weak

**Claims**

1. A substantially crystalline form of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$ , or a pharmaceutically-acceptable salt thereof.
2. A stable form of  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$ , or a pharmaceutically-acceptable salt thereof.
3.  $\text{EtO}_2\text{C}-\text{CH}_2-(R)\text{Cgl-Aze-Pab-OH}$ , or a pharmaceutically-acceptable salt thereof, in a form which has a high stability.
4. A compound as claimed in any one of Claims 1 to 3, which is not in the form of a salt, and which is in the form of an anhydrate.
5. A compound as claimed in any one of Claims 1 to 4 that contains no more than 2% (w/w) water.
6. A compound as claimed in Claim 4 or Claim 5 characterised by a differential scanning calorimetry curve, at a heating rate of  $5^\circ\text{C}/\text{min}$  in a closed cup with a pinhole under flowing nitrogen, exhibiting an endotherm with an extrapolated onset temperature of about  $150^\circ\text{C}$ , a peak temperature of about  $151^\circ\text{C}$ , and an associated heat of about  $113 \text{ J/gram}$ , followed by an exotherm in the region  $190-280^\circ\text{C}$ ; and/or a X-ray powder diffraction pattern characterized by peaks with d-values at 12.0, 10.0, 8.2, 7.2, 6.0, 5.5, 5.0, 4.92, 4.85, 4.80, 4.42, 4.22, 4.11, 4.06, 3.99, 3.78, 3.72, 3.62, 3.34, 3.11, 3.10 and  $3.03 \text{ \AA}$ .
7. A compound as claimed in Claim 4 or Claim 5 characterised by a differential scanning calorimetry curve, at a heating rate of  $5^\circ\text{C}/\text{min}$  in a

closed cup with a pinhole under flowing nitrogen, exhibiting an endotherm with an extrapolated onset temperature of about 169°C, a peak temperature of about 170°C, and an associated heat of about 142 J/gram, followed by an exotherm in the region 180-280°C; and/or a X-ray powder  
5 diffraction pattern characterized by peaks with d-values at 12.0, 11.1, 8.8, 7.2, 6.8, 6.6, 6.5, 6.4, 6.0, 5.8, 5.6, 5.3, 5.2, 4.75, 4.52, 4.39, 4.31, 4.29, 4.25, 4.06, 4.00, 3.82, 3.73, 3.71, 3.69, 3.66, 3.59, 3.55, 3.41, 3.37, 3.34, 3.29, 3.25, 3.22, 3.10, 3.03, 3.00, 2.91, 2.78, 2.73, 2.62, 2.51, 2.46, 2.40, 2.38, 2.34, 2.29, 2.26 Å.

10

8. A compound as claimed in any one of Claims 1 to 3 which is not in the form of a salt, and which is in the form of a monohydrate.

9. A compound as claimed in Claim 8, characterised by a differential  
15 scanning calorimetry curve, at a heating rate of 5°C/min in a closed cup with a pinhole under flowing nitrogen, exhibiting an endotherm with an extrapolated onset temperature of about 94°C, a peak temperature of about 109°C, and an associated heat of about 171 J/gram, followed by an exotherm in the region 170-290°C; and/or a X-ray powder diffraction  
20 pattern characterized by peaks with d-values at 16.4, 13.7, 9.4, 8.2, 7.1, 6.2, 5.5, 5.1, 4.98, 4.75, 4.68, 4.57, 4.48, 4.27, 4.21, 4.11, 4.04, 3.93, 3.89, 3.83, 3.68, 3.52, 3.47, 3.34, 3.26, 3.02, 2.61 and 2.42 Å.

10. A compound as claimed in any one of Claims 1 to 3 which is in the  
25 form of a hydrobromide salt.

11. A compound as claimed in Claim 10, characterised by a differential scanning calorimetry curve, at a heating rate of 5°C/min in a closed cup with a pinhole under flowing nitrogen, exhibiting an endotherm with an

extrapolated onset temperature of about 166°C, a peak temperature of about 167°C, and an associated heat of about 69 J/gram, followed by an exotherm in the region 170-220°C; and/or a X-ray powder diffraction pattern characterized by peaks with d-values at 12.0, 10.1, 9.5, 6.0, 5.7, 5.6, 5.2, 5.1, 4.95, 4.74, 4.57, 4.41, 4.35, 4.17, 4.07, 4.03, 3.92, 3.82, 3.72, 3.69, 3.62, 3.51, 3.48, 3.38, 3.25, 3.06, 2.92, 2.86, 2.71, 2.53 and 2.33 Å.

12. A compound as claimed in any one of Claims 1 to 3 which is in the form of a methanesulphonate salt.

13. A compound as claimed in Claim 12, characterised by a differential scanning calorimetry curve, at a heating rate of 5°C/min in a closed cup with a pinhole under flowing nitrogen, exhibiting an endotherm with an extrapolated onset temperature of about 134°C, a peak temperature of about 137°C, and an associated heat of about 93 J/gram, followed by an exotherm in the region 140-220°C; and/or a X-ray powder diffraction pattern characterized by peaks with d-values at 12.0, 11.7, 10.4, 10.2, 8.3, 7.8, 6.0, 5.6, 5.5, 5.2, 5.1, 5.0, 4.98, 4.90, 4.75, 4.63, 4.54, 4.46, 4.15, 4.06, 3.92, 3.84, 3.74, 3.65, 3.56, 3.47, 3.39, 3.22, 3.12, 2.95, 2.88, 2.76, 2.74, 2.69, 2.65, 2.54, 2.52, 2.49, 2.27, 2.21, 2.04 and 2.02 Å.

14. A process for the production of a compound as claimed in any one of Claims 1 to 13, which comprises crystallising EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH, or a pharmaceutically acceptable salt thereof.

15. A process as claimed in Claim 14, which comprises crystallising EtO<sub>2</sub>C-CH<sub>2</sub>-(R)Cgl-Aze-Pab-OH, or the salt, from a solvent.

16. A process as claimed in Claim 15, wherein the solvent is selected from the group: acetates, lower alkyl alcohols, aliphatic and aromatic hydrocarbons, dialkyl ethers, dialkyl ketones, acetonitrile, aqueous  
5 solvents, or mixtures thereof.

17. A process as claimed in Claim 16 wherein the solvent is selected from the group: C<sub>1-6</sub> alkyl acetates, linear or branched C<sub>1-6</sub> alkyl alcohols, C<sub>6-12</sub> aliphatic hydrocarbons, C<sub>6-10</sub> aromatic hydrocarbons, di-C<sub>1-6</sub> alkyl  
10 ethers, di-C<sub>1-6</sub> alkyl ketones, acetonitrile, water, or mixtures thereof.

18. A process as claimed in Claim 17 wherein the solvent is selected from the group: ethyl acetate, butyl acetate, ethanol, *iso*-propanol, *iso*-octane, *n*-heptane, toluene, di-*iso*-propyl ether, acetone, methyl *iso*-butyl  
15 ketone, acetonitrile, water, or mixtures thereof.

19. A process for the production of a compound as claimed in any one of Claims 4 to 7, which comprises a process according to any one of Claims 15 to 18, in which the solvent is substantially free of water.  
20

20. A process for the production of a compound as claimed in any one of Claims 4, 5 or 7, which comprises a process according to any one of Claims 15 to 18, in which the solvent contains water.

25 21. A process for the production of a compound as claimed in Claim 8 or Claim 9, which comprises a process according to any one of Claims 15 to 18, in which the solvent contains water.

22. A process for the conversion of one crystalline form of a compound as claimed in any one of Claims 1 to 3 to another which comprises recrystallising a compound as claimed in any one of Claims 1 to 3 from an appropriate solvent system.

5

23. A compound obtainable by a process according to any one of Claims 14 to 22.

24. A compound as claimed in any one of Claims 1 to 13 or 23 for use  
10 as a pharmaceutical.

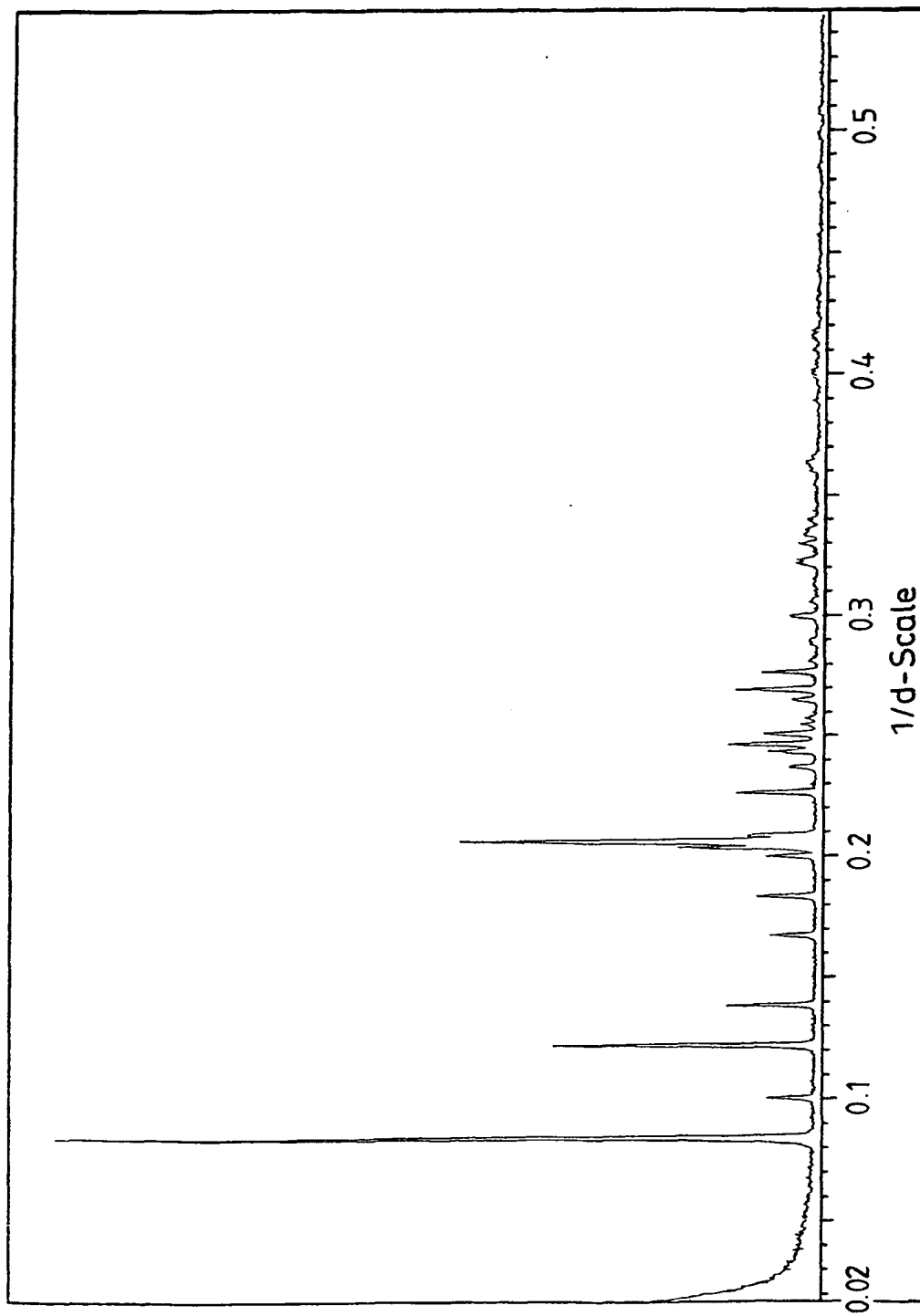
25. A compound as claimed in any one of Claims 1 to 13 or 23 for use as a prodrug.

15 26. A pharmaceutical formulation including a compound as defined in any one of Claims 1 to 13 or 23 in admixture with a pharmaceutically acceptable adjuvant, diluent or carrier.

27. The use of compound as defined in any one of Claims 1 to 13 or 23  
20 for the manufacture of a medicament for the treatment of a condition where inhibition of thrombin is required or desired.

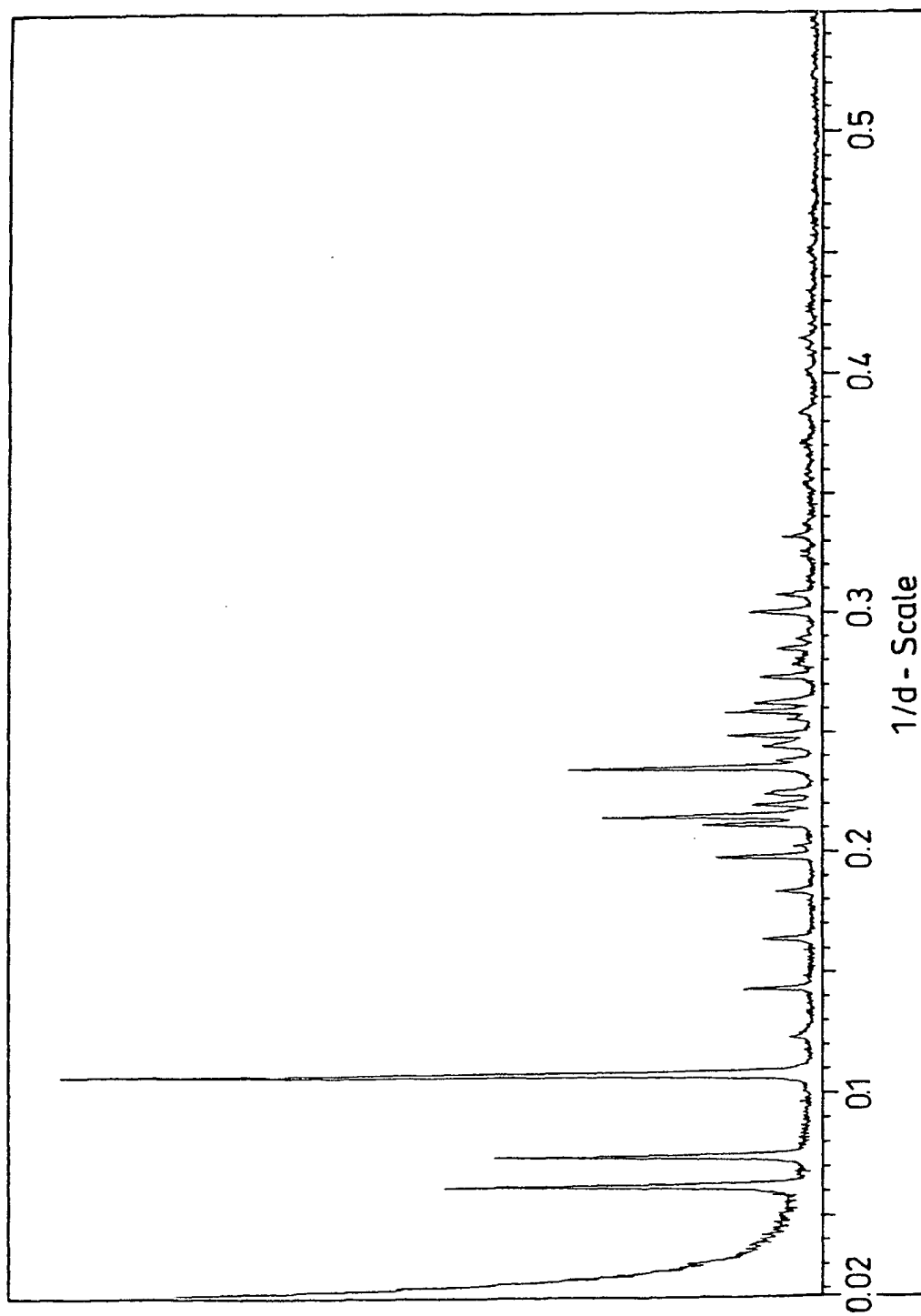
28. A method of treatment of a condition where inhibition of thrombin is required or desired which method comprises administering a  
25 therapeutically effective amount of a compound according to any one of Claims 1 to 13 or 23 to a patient in need of such treatment.

1/5

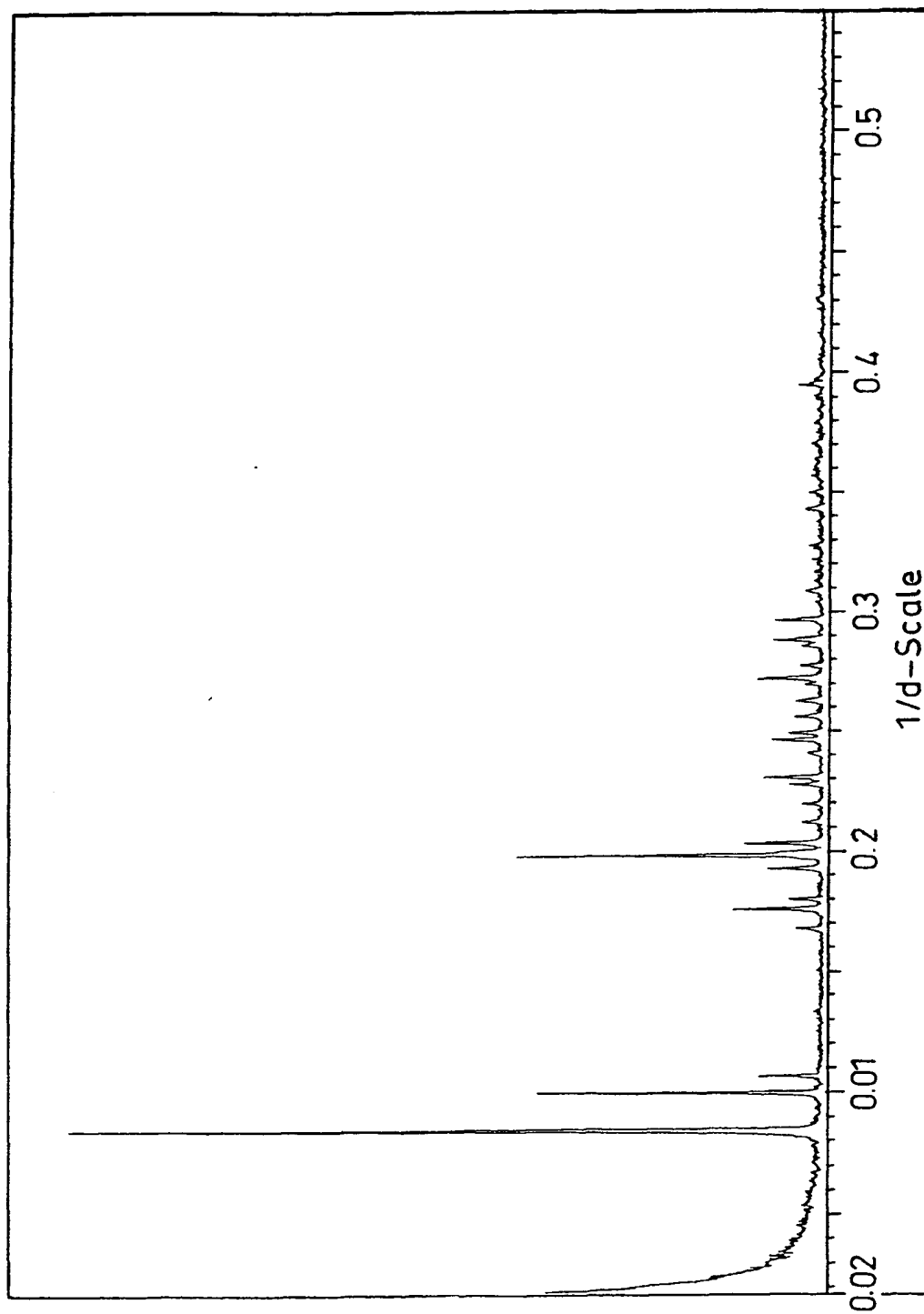
*Fig. 1*



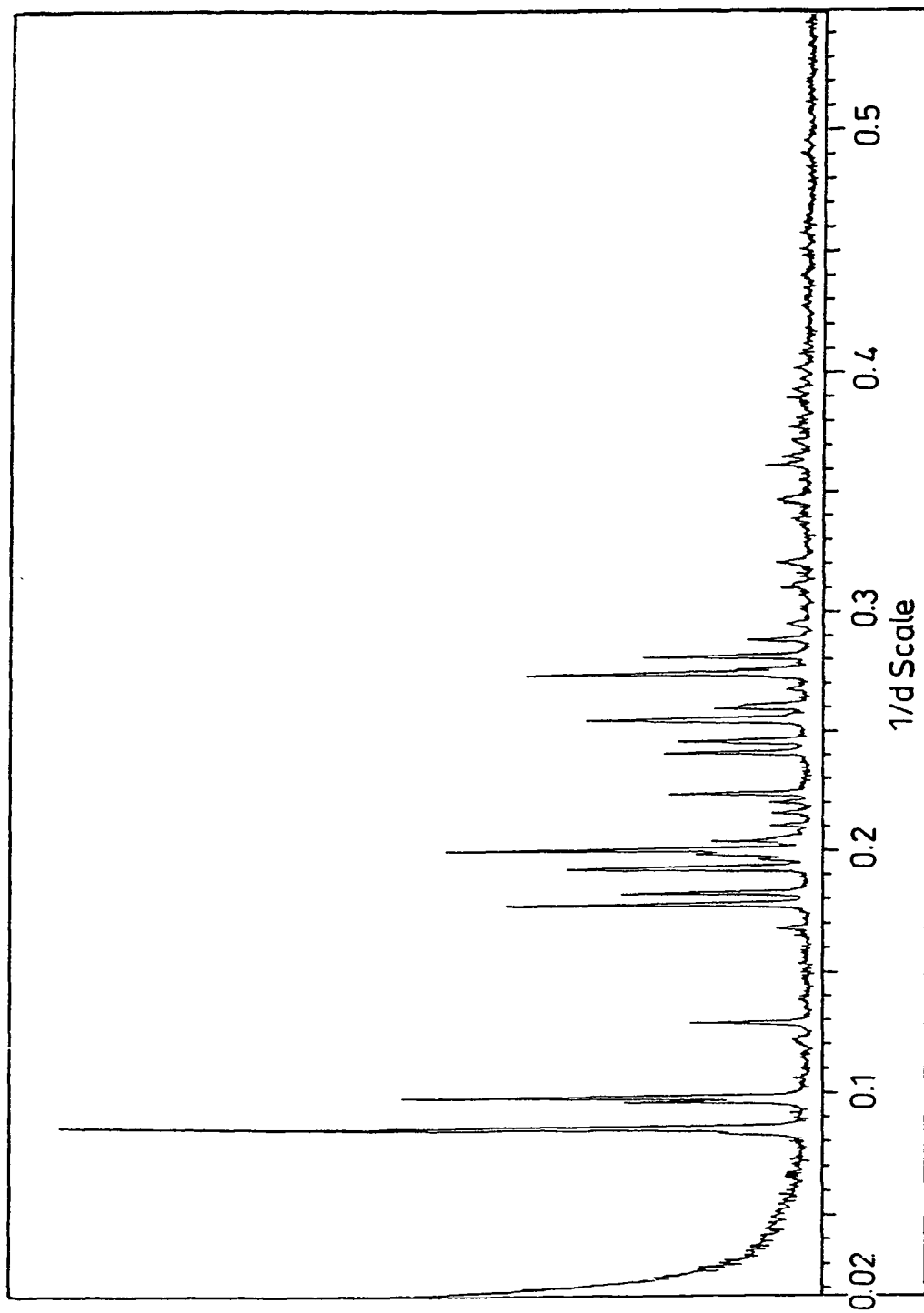
2/5

**Fig. 2**

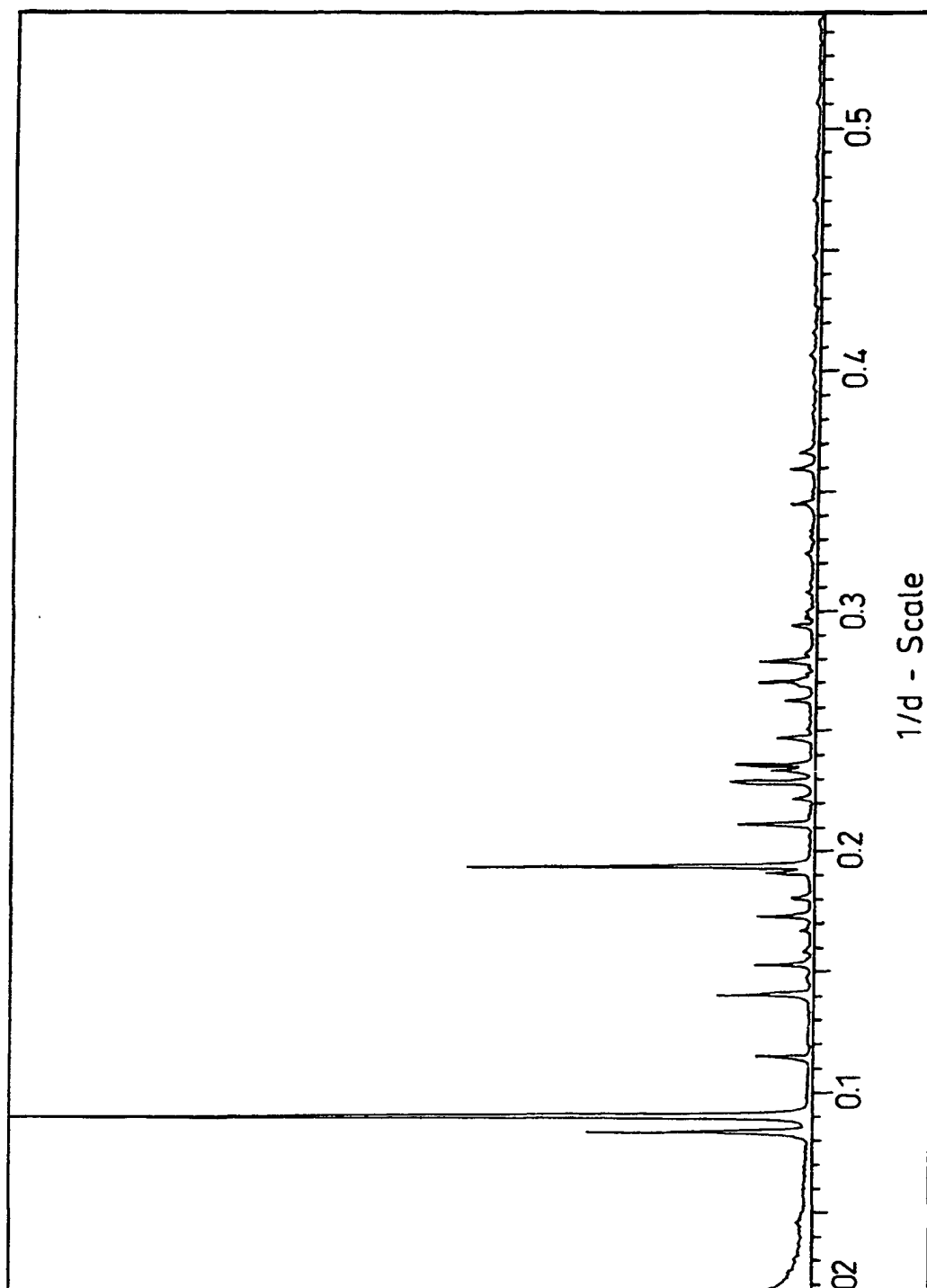
3/5

*Fig. 3*

4/5

**Fig. 4**

5/5

**Fig. 5**

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 99/01514

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: C07K 5/06, C07K 14/81, C07K 38/55, A61P 7/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: C07K, A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

REG., CAPLUS, WPI

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 9723499 A1 (ASTRA AKTIEBOLAG), 3 July 1997 (03.07.97)  -- -----	1-28

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

22 December 1999

Date of mailing of the international search report

07 -01- 2000

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Carolina Gómez Lagerlöf

Telephone No. +46 8 782 25 00

## INTERNATIONAL SEARCH REPORT

International application No.  
**PCT/SE99/01514****Box I** Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: **28**  
because they relate to subject matter not required to be searched by this Authority, namely:  
**see next page**
2. ☒ Claims Nos.: **2-3**  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
**The claims refer to a stable form of the claimed compound, or a form which has high stability. The scope of the claims includes all possible stable forms of the compounds, not only the crystalline form. The claims are not clear and concise (see Rule 6) .**

**Box II** Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.  
PCT/SE99/00514

Claim 28 relates to a method of treatment of the human or animal body by surgery or by therapy/diagnostic methods practised on the human or animal body/Rule 39.1(iv). Nevertheless, a search has been executed for this claim. The search has been based on the alleged effects of the compounds/compositions.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

02/12/99

International application No.

PCT/SE 99/01514

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9723499 A1	03/07/97	AU 706350 B	17/06/99
		AU 1217897 A	17/07/97
		CA 2238737 A	03/07/97
		CN 1209139 A	24/02/99
		CZ 9801770 A	13/01/99
		DE 869966 T	10/06/99
		EP 0869966 A	14/10/98
		ES 2128283 T	16/05/99
		GB 9526273 D	00/00/00
		HU 9900115 A	28/05/99
		IL 124857 D	00/00/00
		NO 982809 A	20/08/98
		PL 327569 A	21/12/98
		SK 82198 A	02/12/98
		US 5965692 A	12/10/99
		AU 5708296 A	21/11/96
		BR 9612148 A	13/07/99
		EP 0870167 A	14/10/98
		SE 9600556 D	00/00/00
		US 5945628 A	31/08/99

---